

Office of the Controller  
and Business Services  
(OCBS)

Proactive

Service

Compliance

Mitigation

Training

**University of California**  
Agriculture and Natural Resources



## Our Location

University of California  
Agriculture & Natural Resources  
Office of the Controller & Business Services  
1111 Franklin Street, 10<sup>th</sup> Floor  
Oakland, CA 94607



<http://ucanr.edu/ocbs>

The University of California prohibits discrimination or harassment of any person in any of its programs or activities. (Complete nondiscrimination policy statement can be found at <http://ucanr.org/sites/anrstaff/files/107734.doc>)

Inquiries regarding the University's equal employment opportunity policies may be directed to Linda Marie Manton, Affirmative Action Contact, University of California, Davis, Agriculture and Natural Resources, One Shields Avenue, Davis, CA 95616, (530) 752-0495.

## Agriculture & Natural Resources

## Office of the Controller and Business Services (OCBS)



## Controller

Jake McGuire 510-987-9052  
jake.mcguire@ucop.edu

<http://ucanr.edu/ocbs>

- ANR Chief Ethics and Compliance Officer
- Liaison to the General Counsel of The Regents
- Liaison to University Auditor
- Controls Initiative Program
- Enterprise Risk Management Program
- Internal Controls
- Business and Financial Matters
- Whistleblower Complaints
- Locally Designated Official



## Administrative Policies & Business

### Contracts

Catherine Montano 510-987-0103  
catherine.montano@ucop.edu

<http://ucanr.edu/adminpolicies>

- UC and ANR Policy
- Business Contracts
- Memoranda of Understanding (MOUs)
- Volunteer Group Administration (4-H, Master Gardeners)
- Legal Issues including Subpoenas, Public Records
- Records Requests and Conflict of Interest
- Delegations of Authority

## ANR Oakland Computer Services

David Underwood 510-987-0072  
david.underwood@ucop.edu

<http://ucanr.edu/ocs/>

- Computer, Server, & Web Support for ANR
- Network system Administration and Analysis
- Liaison to UC Office of the President (OP)
- Information Resources & Communications and other ANR Computer Support Units



## Financial Services

Ben Chin 510-987-0704  
ben.chin@ucop.edu

<http://ucanr.edu/financialserv>

- Financial Policy & Oversight
- Cash Management
- General Ledger Maintenance
- Compliance with Governmental and UC Finance Regulations
- Coordinator of UC Financial Systems
- Document ANR Program Impacts via Current Research Information System (CRIS)
- Track Federal Funds Allocations and Expenditures via UC Corporate Financial System Data Warehouse
- Annual Expenditure Reporting
- On-Line and Ad-Hoc Corporate Reporting
- ANR Account Maintenance



## Risk & Safety Services

Brian Oatman 530-752-6024  
boatman@ucdavis.edu

<http://ucanr.edu/risk>

- Risk Management Assessment, Advice, & Consultation
- Claims Management including Incident Reports
- UC Self-Insurance Program
- Certificates of Insurance
- Facility Use Agreements (FUAs)

<http://safety.ucanr.edu/>

- Develop policies, guidelines and other tools to comply with regulations
- Provide training and resources to conduct training
- Assess compliance, injuries, potential hazards, environment and risk



## Additional Resources

For additional information go to the following sites

- UCOP ERM  
<http://www.ucop.edu/enterprise-risk-management/index.html>
- UCOP Compliance  
<http://www.ucop.edu/ethics-compliance-audit-services/index.html>
- CFO Website  
<http://www.ucop.edu/finance-office/index.html>
- ANR Training  
<http://ucanr.edu/sites/anrtraining/>
- UCOP Systemwide Policies  
<http://policy.ucop.edu/>